
Index

- Adversity Passive. *See* Passive, Indirect
Akmaijan, Adrian, 4, 87, 153, 210n10,
215n29, 216n41, 217n44
Ambiguity, 4–6, 93–94. *See also* *Zibun*
and the binding mechanism, 218n11
structural, 199n1
Anaphora, 6, 99, 161
bound, 164–165, 194
coindexing procedure (Reinhart), 164
conditions, 163
and coreference, 6, 162–163
modular account of, 104, 165–169,
173–182
null-, 99, 103–104, 195, 197
“pronominalization,” 161–162
three-valued indexing systems, 162–163
Anaphors
binding of, 163–164
reflexives, 168
Aoun, J., 213n15, 217n2
Argument
dative argument slot, 51
external, 119, 143
internal, 144
NP-, 112
slot, 47, 71
subject slot, 47
unevaluated, (def.) 72, 111, 206n30
Aronoff, Mark, 205n26
Auxiliary Elements, 156–158
subcategorization of, 159
tense, 156
Bach, E., 21, 162, 216n39, 219n17
Bach, Kent, xv, 87, 166
Base, 7. *See also* Phrase Structure
Component
Bedell, George, 203n10
Binding
conditions, (def.) 163, 182
mechanism (MG theory), 168, 218n7
and NP-trace and PRO, 161
of pronouns, 164–165
topic-, 88, 209–210n8
of unevaluated argument slot, 121,
124
Brame, Michael, 200n7, 202n17, 212n2,
213n14
Bresnan, Joan W., 9, 162, 200n7, 202n17,
202n20, 205n27, 212n2, 213n8,
213nn13–15, 215n28, 215n31, 216n39,
216n41
Carter, Richard, 52
Case
array filters, 37, 56
arrays, 45–47
assigner, 110
canonical sentence patterns, 35
case-marking and the cycle, 50, 56–63
cyclic/noncyclic case marking, 59–63
linking register, 48, 50, 121
linking rules, 48–50
marking, 33–37
marking transformations, 34, 56
particles: ga, ni, o, 27–28
regular case linking, 50, 66, 70–71, 80,
84, 89
semantic, (def.) 51
Categorial Component, 8. *See also* Base
Category-Neutral Theory. *See also*
Phrase Structure (PS) Rules
English phrase structure, 18
Japanese phrase structure, 11–17

- Category-Neutral Theory (cont.)
 ramifications of, 19–24
 rules and principles, 18–19
- Causative, 25–26, 42–43, 52–54
 dative noun phrases in, 30–31
- Chomsky, Noam, xvi, 7–11, 19–20,
 23–24, 66, 72, 109–114, 122, 132–133,
 147, 151–152, 161–162, 182, 186–191,
 199nn2–3, 207n7, 200n14, 202nn17–18,
 202nn20–21, 212n4, 213n9, 213n12,
 214n17, 214n21, 215n31, 216n41,
 217nn1–2, 218nn3–5, 219n14, 219n19,
 220nn23–25, 221n3
- Command, 4, 6
 c-command condition, 151, 199n2,
 220n24
 in construction with, 199n2
 kommand, 199n2
 precede and command condition, 6–7
- Communication, inferential theories of,
 166
- Communicative Intent, 166–167
- Complex Verbs in Japanese, 25–27,
 72–74. *See also* Causative;
 Passive
- Configurational/Nonconfigurational, 196
- Configurationality Parameter (CP), 221n1
- Control. *See also* Evaluation, direct and
 indirect
 generalized, 115
 “long distance,” 214n24
 nonobligatory (NOC), 144, 146
 object, 118, 127, 143
 pragmatic, 150, 186, 188
 of PRO, 146
 revised, 123, 140
 role of, 120
 rule of, (def.) 117
 subjacency, 122, 188
 subject, 118
 verb(s) in MG theory, 123, 126, 128
- Culicover, Peter, 155–156
- Deletion Rules
 counter equi, 36–37, 62
 equi, 36–37, 62
 S'-deletion in GB theory,
 190
- Demers, Richard, 4, 210n10, 215n29
- Domain D, 200–201n14, 218n4
- Dougherty, Ray, 162
- Dresher, B. E., 151, 217n2
- Empty Categories. *See also* “Gaps”;
 NP-trace; PRO
 indexing of, 219n19
 status of, 24, 182–183, 193, 217n1
- Empty Category Principle (ECP), (def.)
 190, 191
- Evaluation, 48–49, 110. *See also*
 Sanctioning, lexical and syntactic
 and case particles, 71
 direct, 82, 84–86, 95, 111, (def.) 117,
 140
 indirect, 82, 85, (def.) 91, 111, (def.)
 117, 140
 nature of, 196
 of postpositional phrases, 91–92
 procedure, 49
 unevaluated argument slot, 72
- Evans, Gareth, 104, 162
- Exocentric Structures, 8, 9
- Extended Standard Theory, 72, 151
 status of “scrambling” rules, 21
- Farmer, Ann K., 10, 20–21, 23, 49, 52,
 54, 56, 60–61, 66, 72, 94, 103–104,
 173, 180, 193, 195, 200n7, 203n2,
 203n8, 204nn17–18, 204n20, 205n21,
 205n24, 205nn27–28, 206–207n2,
 207–208n3, 209n8, 211n19, 215n32,
 218n9, 218nn11–12, 219n13, 221nn1–2
- Feature Percolation, 14, 77, 153. *See also*
 Node Labeling
 procedure of, 15
- Fiengo, Robert, 151
- “Gaps,” 23, 187. *See also* Empty
 Categories; NP-trace; PRO
- Gazdar, Gerald, 153, 202n17, 216n42,
 217nn46–48
- Government, 114, (def.) 189
 proper, (def.) 189, 190
- Government Binding Theory, 7, 23, 72,
 113–114, 133–134, 139, 189
 move α in, 187–188
- S-structure in, 182, 202n21
 status of “referential” index in, 182
 subcategorization in, 191
- Governor
 as case assigner, 110
 c-command requirement, 110
 proper, 190
- Grammatical Case Linking, 77–78,
 80–81. *See also* Case linking rules

- Grammatical Relations, consequences of the Category-Neutral theory for, 21
Grinder, J., 214n24
- Hale, Kenneth, xvi, 11, 20–21, 32, 52, 54, 60, 65–66, 77, 158, 195, 200nn6–7, 205n27–28, 206n30, 212nn1–3, 213n8, 213n11, 215n32, 217n43, 217n48, 221nn1–3
Harada, S. I., 32, 35, 65
Harnish, Robert M., xv, 4, 20, 87, 105, 166, 169, 173, 179, 193, 199n1, 210n10, 214n25, 215n29, 217n44, 218nn11–12, 219nn13–14
Hasegawa, Nobuko, 204n16, 212nn2–3, 212n7, 214n19, 215n28, 216n40
Head. *See also* X-Bar Theory
definition of, 9, 11
Helke, Michael, 162
Hierarchical Structure, 3–7
Higginbotham, James, 193
Hinds, J., 203n2
Hornstein, Norbert, 151–152, 217n2
Howard, I., 38, 39, 40, 42, 44, 45, 93, 203n2, 203n15
Huang, James, 193
- Imperative, 169
Index, 182. *See also* Evaluation; Binding
Infinitival Complements, 154
INFL, 110, 132, 212n5
Inoue, K., 32, 63, 100–101, 204n16, 210–211n15
Interpretation
literal and direct, xv, 87
nonliteral, 101
of passive, 124
of unevaluated argument slots, 116
Iwakura, K., 213n15
- Jackendoff, Ray, 9–10, 152, 162, 200nn4–5, 219n17
Jacobson, P., 216n39
Jaeggli, Osvaldo, 20, 189, 202n18, 202n20, 205n25, 217n2, 221n26
- Kaplan, R., 215n31
Kimball, J., 214n24
Kitagawa, Chisato, 63, 84, 87–88, 93, 96, 195, 203n2, 203n11, 205n24, 209n5, 209–210n8, 211n17, 215n37
Klima, E. S., 161, 199n2
- Koster, J., 163, 202n17, 213n14
Kuno, Susumu, 21–22, 28–35, 38–40, 42, 44–45, 56–57, 59–64, 67–68, 72, 88, 98–99, 200n13, 203n14
Kuroda, S.-Y., 27, 32–33, 35–37, 42, 44–45, 49, 56, 61, 63, 72, 202n16
- Lakoff, George, 162
Langacker, R., 6, 161
Language, Theory of. *See* Language System
Language System, xv, 87, 161, 165
Lasnik, Howard, 162, 181, 199n2, 218n5, 219n15
Lees, R. B., 161
Lekach, A. F., 76
Lexical Insertion
context-free, 13, 18, 77–78
matching format, 7–8
substitution format, 7–8
as a transformation, 13
Lexical Item
idiosyncratic properties of, 71
role of, 12
subcategorization frames, 10
Lexicon, 71
“permanent,” 54
Lexicosyntactic Rules, 111, 115–116, 122, 129, 139. *See also* Evaluation, direct and indirect
Lieber, Rochelle, 205n26
Linking. *See also* Case linking rules
register, 121
- McCawley, James, 199n1
McCawley, N., 29
McCloskey, J., 152
Marantz, Alec, 9, 152, 200n9, 205n27, 206n1, 210n13
Martin, S. E., 33
May, Robert, 163, 202n17, 213n14
Mikami, Akira, 63
Minimal Governing Category, 168, 218n7, 221n26
Miyagawa, Shigeru, 72, 204n16
Modular Grammar (MG)
analogue to PRO and NP-trace, 113, 115
assumptions of, 20, 76, 116, 160, 173
consequences of, 132–133
move α relation in, 187
NP-movement in, 115, 146

- Modular Grammar (MG) (cont.)
obligatoriness of [NP,S], 113–115
“raising,” 125
role of pleonastic elements, 112
S-structure in, 182, 202–203n21
status of INFL in, 212n5
status of NP-trace and PRO in, 161,
 182, 192
status of subadjacency in, 122, 189
status of S'-deletion in, 191
 θ (theta) criterion in, 112, 147, 149, 189,
 213n10
Morin, J.-Y., 202n17, 213n14
Morphologically Complex Verbs in
 Japanese
status of, 22
syntax of, 25–28
Move α
analogue to, 97
as a condition on antecedent/gap
 relation, 187–188
subjacency, 147
- Newmeyer, F., 200n4
Nishio, Hiroko, 94–96, 99, 209n7
Niyeckawa-Howard, A. M., 38–40, 42,
 45, 93, 203n15
Node Labeling, 14, 77. *See also* Feature
 Percolation
Node-Markers, 11–12
Nonconfigurational Language
 Japanese as a, 22
Nonliterality, 92
NP-Movement
 in MG theory, 115, 118
 in “nonconfigurational” languages, 196
left/right asymmetry of, 151
NP-trace, 23–24. *See also* “Gaps”;
 Empty Categories
 as an anaphor, 151
 in GB theory, 183–186
 in MG theory, 113, 132
- Oddity
 due to conflict, 179
 involving inversion, 159
 involving passive, 150
 involving PRO, 139
 pragmatic, 88, 177
 semantic, 101
Oehrle, Richard T., 94–96, 209n7
Oshima, Shin, 103, 211n19
- Overgeneration, 17, 20, 33, 35, 45, 49,
 60–61, 92, 105
instances of, 37
- Partee, B. H., 162
- Passive, 38–42, 54–55, 57, 63–71,
 187
 dative, 67
 direct, 26, 29–30
 global constraint, 68
 indirect, 26–27, 29–30, 38, 81, 84,
 93–94, 97, 197
 in MG theory, 66–71, 79
 promise, 150
rule, 57
uniform and nonuniform account,
 38–42, 64, 93
word formation, 122
and *zibun*, 38–42 (*see also*
 Zibun)
- Perlmutter, David, 213n8
- Phrase Structure Component, 77
- Phrase Structure (PS) Rules, 7. *See also*
 Category-Neutral Theory
consequences of, (GB theory), 114
as redundancy rules, 10, 19
role of (in GB theory), 10
role of (in MG theory), 10, 21, 31, 43
stripping categorial content from,
 10
two functions of, 8
- Pleonastic Elements, 133
anaphorically related to S', 136
behavior of, 23
role of, 112, 114
- Poser, William, 206n1, 206–207n2
- Postal, Paul, 162, 213n8
- Pragmatic Inference Rule, 87–88, 93, 95,
 97
- Pragmatics
inferential system, role of, 87
modular, xv, xvi, 87
pragmatic control, 151
role of, 82
- Predication
English, 111, (def.) 117, (def.) 140
Japanese, 82, 85–86, 90, 95, 97
role of, 119
Williams's version, 151
- Predicate Argument Structure (PAS), 20,
 47–48, 50–51, 66, 77
- Presumptions, referential, 104

- Principles (MG theory)
coreference/disjoint reference, 168
co-satisfaction (P2), 168
co-satisfaction (P4), 168
disjoint reference, 168
imperative, 169
predicate argument, 168
X-bar, 152
- PRO, 23–24, 72, 103, 139. *See also*
“Gaps”; Empty Categories
in GB theory, 183–186
“long distance” control of, 214n24
in MG theory, 113, 132
- Projection Principle, 10, 112, 200n14,
213n12
extended projection principle, 190
- Pronouns
bound variable interpretation of,
164
as indexicals, 165, 173
indexing of, 168
- Pullum, G., 153, 216nn41–42,
217nn46–48
- Pylshtyn, Z., xv
- Quine, W. V. O., 211n16
- Radford, Andrew, 4–5
- Reference
disjoint (English), 163
disjoint (Japanese), 103
pragmatic (speaker) versus semantic,
167
role of linguistic structure, 166,
182
speaker, 104, 163, 181–182
- Referential Index, 163, 165. *See also*
Binding; Anaphora
- Reflexivization, 22, 28. *See also* *Zibun*
- Reinhart, Tanya, 161–164, 194, 199n2,
218n7
- Rewrite Rules. *See* Phrase Structure (PS)
Rules
- Ross, John R., 40, 162, 216n41
- Sag, Ivan, 153, 216n42, 217nn46–48
Saito, Mamoru, 97, 209n6, 210n11
- Sanctioning, 112
by case, 112
lexical, 110, 133
overlap, 112
syntactic, 110, 129, 154, 156
- Scrambling, 21, 31–32, 43–44, 78
context-free lexical insertion, 78 (*see also* Lexical Insertion)
- ‘S’ Diacritic, 102
interaction with passive, 69
‘S’ assignment, 66, 77–78
- Self*, semantics of, 168, 177
- Semantic Linking, 50–55, 71, 80–81
- NI-Linking, 52, 54, 61, 89
“residue” case markings, 50, 89
- S(entence) Node
projection of INFL, 152
projection of V, 9, 15, 19
status of, in MG theory, 152–154
- Shibatani, M., 27, 29, 32, 63
- S-structure, status of, 24. *See also*
Modular Grammar, S-structure in
- Stative Verbs, 28
- Steele, Susan, 153, 159, 202n17, 213n14,
215n28, 216n41, 217nn44–45
- Stowell, Timothy, 9, 10, 18, 152, 160,
195, 200n11, 202n19, 213n15, 214n20,
216n41, 217n49
- Subjacency, (def.) 188. *See also* Control
bounding nodes, 147
and NP-movement, 147
and NP-trace, 186–187
violations of, 149
- Subject, 63–65
AUX inversion, 155–159
definition of (English), 109
diacritic, (‘S’), 63, 65
domain of, 78
of an infinitival, 133
multiple subject (Japanese), 98–99
obligatoriness of, 19, 23, 113–115
phonologically-null, 23
properties of, 33
role of, 22
‘S’ assignment, 66–67, 69–70
slot, 47
- Syntactic Level of Representation,
200–201n14
- Taylor, H. M., 200n8
- Terminal Node, Δ, 13, 20, 72
- Thematic Hierarchy, 204n20
- θ (theta) criterion
analogue in MG theory, 111
violations of, 112
- θ (theta) theory, 114
- Tomoda, Shizuko, 89, 209n6, 210nn11–14

- Tonoike, Shigeo, 31–32, 43–44, 72, 203n14
Topic Construction (Japanese), 81–88, 196
Tough Sentences (Japanese), 81, 83, 88–93, 97, 197
Transformations
 global constraint, 68
 pure passive formation, 65
 summary of Kuno's transformations for Japanese, 58
Tsugihara, Sachiko, 211n21
Tsujimura, Natsuko, 206n31, 211n21

Ueda, M., 81, 206–207n2, 207n3

Vergnaud, J.-R., 205n27
Visser, F., 216n39

Washio, R., 103–104, 211n18, 211n21
Wasow, Tom, 153, 162, 214n24, 216n41, 217n44
Wehrli, Eric, 202n17, 213n14
Whitman, John, 21
Wilkins, Wendy, 212n7, 215n33
Williams, Edwin, 14, 82, 109, 144, 151, 205n27, 208n4, 213n8, 214n23, 215n34
Wilson, D., 216n41
Word order, 195–196
 preferred, 45

X-Bar Theory, 8, 9

Yes/No Questions, 155–159. *See also* Subject, AUX inversion

Zibun, 29–30, 38–42, 63–65
 antecedent of, 29, 63–64, 78
 cases of ambiguity, 29–30, 64, 94
 interpretation rule, 100, 210–211n15
 reflexive coreference constraint (RCC), 40–42
 review of previous accounts, 99–101
Zubizarreta, M.-L., 205n27