

Index

This index covers topics, codewords, organizations, and the 200 persons most intimately involved in the planning or forecasting of BARBAROSSA, including all such persons mentioned at more than one place in the text. Other persons connected with BARBAROSSA are identified in Appendix A, Appendix B, and the Bibliography.

Except for occasional special cases, the index omits all persons mentioned only once, any persons mentioned only in connection with codebreaking or intelligence but not directly linked to BARBAROSSA, and all historians of BARBAROSSA. The historians are cited in Table 7.1 and in the Bibliography.

Key to abbreviations (country of citizenship or affiliation):

B	British	R	Russian
C	Czechoslovak	Rum	Rumanian
Ch	Chinese	S	Swedish
F	Finnish	Sw	Swiss
G	German	T	Turkish
H	Hungarian	US	United States
I	Italian	V	Vatican
J	Japanese	VF	Vichy French
P	Polish	Y	Yugoslav

- Abwehr, 171, 361. *See also* Bentivegni; Canaris; Müller; Oster; Piekenbrock; Thummel
- Akhmedov, *Major* Ismail Gusseyonovich (1904–) [later legally renamed Ismail Ege; **R** Chief, 4th Section (foreign technical military intelligence), GRU, Sept. 1940–late May 1941; on special GRU assignment in Berlin, with TASS cover as “Georgi Nikolayev,” late May–22 June when interned; defected in Turkey in 1942], 68, 90, 126, 324
- Alfieri, Dino (1886–) [**I** Ambassador to Germany, 1940–43], 81, 143, 237, 241, 313
- Amè, *Gen.* Cesare [**I** Director, SIM, Sept. 1940–43], 7, 108, 236–237, 313
- Anfilov, Viktor Aleksandrovich [**R** junior officer, Army Staff, in 1941], 96, 195, 197
- Antonescu, *Gen.* Ion (1882–1946) [**Rum** Conducator and Prime Minister, Sept. 1940–44], 41, 120–121, 145, 225
- A.P.E.S. (Advanced Planning Enemy Section, **B**), 8, 231, 361
- Assarsson, Vilhelm (1889–) [**S** Ambassador to USSR, 1940–43], 51, 106, 314
- Associated Press (AP), 74, 87, 183, 239. *See also* Lochner
- AUFBAU OST, 15–16, 135, 361
- Baldwin, Hanson W. (1903–) [**US** military correspondent, *New York Times*, 1937–42], 240
- Bandera, Stepan (Stephen) (1909–1959) [leader of Ukrainian nationalist OUN-B faction], 58, 183
- BARBAROSSA, 16–21, 34, 54, 361. *See also* AUFBAU OST; FRITZ; Marcks’s Plan; OTTO; SEA LION
- Bárdossy, *Dr.* László de (1900–) [**H** Prime Minister and Foreign Minister, 28 Jan. 1941–42], 144

- Baril, *Lt.-Col.* Louis [VF Chief, Deuxième Bureau, Army of the Armistice, early 1941–42], 104–105, 235
- Baumbach, *Capt.* (Navy) Norbert von [G Naval Attaché, Moscow], 63, 64n, 259
- Beaverbrook, *Lord* (1879–1964) [B Minister for Aircraft Production, 1940–41], 63, 81, 229
- Beck, *Col.-Gen.* Ludwig (1880–1944) [G anti-Nazi retired officer], 39n, 77, 88–89, 236, 279(n92)
- Beneš, *Dr.* Eduard (1884–1948) [C President, July 1940–48], 3, 7, 8, 54–56, 227, 229, 235, 315
- Bentivegni, *Col.* Franz von [G anti-Nazi, Chief, Section II (security), Abwehr, OKW, 1938–44], 133, 150, 171, 255, 268
- Berezhkov, Valentin Mikhailovich (1919–) [R First Secretary, Soviet Embassy, Berlin, late 1940–22 June 1941], 3, 68–69, 92, 179, 315
- Bergery, Gaston (1892–) [VF Minister to USSR, May–June 1941], 97–98, 205, 234, 241
- Beriya, Lavrenti Pavlovich (1899–1953) [R Commissar, NKVD (later MVD), 1938–53, when executed], 66, 192–194
- Berle, Adolf A., Jr. (1895–1971) [US Assistant Secretary of State (for intelligence affairs), 1938–44], 44n, 47
- Biddle, Anthony J. Drexel, Jr. (1896–1961) [US Ambassador to the Governments-in-Exile, London, 1941–44], 114, 119
- Bittenfeld. *See* Herwarth von Bittenfeld
- Bock, *Field-Marshal* Fedor von (1880–1945) [G CG, Army Group B (later Center), 1939–Dec. 1941], 37, 50, 268
- Bohlen, Charles E. “Chip” (1904–) [US Second Secretary of Embassy, Tokyo, 1940–41], 38, 64, 75
- Bömer, *Dr.* Karl (commonly misspelled Boehmer and Böhme) [G Head, Foreign Press Section, Propaganda Ministry, to May 1941], 83–84, 140
- Bormann, Martin (1900–1945?) [G Chief of Staff (Stabsleiter), Nazi party, to 12 May 1941; then succeeded Hess], 80, 138, 272
- Bourke-White, Margaret (1906–1971) [US photographer for *Life*], 204, 240, 317
- Brauchitsch, *Field-Marshal* (appt. 19 July 1940) Walther von (1881–1948) [G CinC, OKH, 1938–Dec. 1941], 14, 31, 48, 134, 173, 258–259, 267
- Brock, Ray (1913–1968) [US foreign correspondent, *New York Times*, Rumania, then Turkey, 1940–44], 121–124, 240, 318
- Brooke, *Gen.* Sir Alan (later *Lord* Alanbrooke) (1883–1963) [B Commander in Chief, Home Forces, July 1940–Dec. 1941], 232, 318
- Buschenhagen, *Col.* Erich (1895–) [G CofS, 1st Army], 143n, 269
- Cadogan, *Sir* Alexander (1884–1968) [B Permanent Under-Secretary, Foreign Office, 1938–46], 107–108, 115, 241, 318
- Caldwell, Erskine (1903–) [US writer on assignment in Moscow for *Life*], 186, 187, 204, 240, 318
- Canaris, *Adm.* Wilhelm (1887–1945) [G anti-Nazi, Chief, Abwehr, OKW, 1935–44; executed for treason], 33, 35, 39n, 51, 77–78, 108, 133, 136, 150, 160, 162n, 236, 255, 268, 320
- Carlson, Harry E. (c. 1886–1960) [US Consul-General, Vienna, 1939–41], 65
- Casey, Richard Gardiner (later *Lord*) (1890–) [Australian Minister to U.S., 1940–42], 70, 217, 319

- Cavendish-Bentinck, Victor "Bill"
F. W. (1897–) [B Chairman ex officio, J.I.C., 1939–45], 8, 115, 227, 231, 241
- Chiang Kai-shek, *Generalissimo* (1887–) [Ch Chairman of National Military Affairs Council, 1932–46; President (i.e., "Premier") of the Executive Yüan; leader of the Kuomintang], 82
- Chicago Daily News*, 47, 182, 239, 351–352. *See also* Whitaker
- Churchill, Winston S. (1874–1965) [B Prime Minister, 1940–45], 3, 7, 8, 24, 55, 60–63, 79–80, 208, 223, 227, 229–230, 232, 233, 234, 242, 319
- Ciano, Count Galeazzo (1903–1944) [I Minister for Foreign Affairs, 1936–43; son-in-law of Mussolini], 144, 146, 153, 162, 199, 236, 320
- codes, codebreaking. *See* cryptology
- Columbia Broadcasting System (CBS), 58, 122, 123, 326, 350
- "Commissar Order" (*Kommissarbefehl*), 51–52
- Cripps, Sir Stafford (1889–1952) [B Ambassador to USSR, 1940–42], 8, 24, 35–36, 62–64, 81, 106, 119, 124–125, 207, 228, 234, 241
- cryptology, 42–45, 106, 116, 151–157, 162–166, 206
- Dahlerus, Birger (1891–1957) [S manufacturer; Göring's stalking horse with the British], 117–118, 181
- Darlan, *Adm.* (Jean) François (1881–1942) [VF Deputy Premier, Feb. 1941–42], 97, 103–104, 151, 235, 242
- Davidson, *Maj.-Gen.* Francis H. N. (1892–) [B Director of Military Intelligence, 1940–44], 232
- Dawson, Geoffrey (1874–1944) [B Editor, *The Times*, 1912–Sept. 1941], 80, 234, 359
- deception, 170–176, 242–245, 247–266, 311–312
- disinformation, 171, 201, 206
- false negotiations, 266
- see also* rumors; tacit bargaining
- Dekanozov, Vladimir Georgiyevich (1898–1953) [R Ambassador to Germany, Nov. 1940–22 June 1941; purged and executed], 16, 20, 32, 76, 85, 126, 214, 225
- Delmer, (Denis) Sefton (1904–) [B head, G.3, German Dept., S.O.1 (later P.W.E.), 1941–43], 3, 309(n38), 322
- Dill, *Gen.* (then, in 1941, *Field-Marshal*) Sir John (1881–1944) [B Chairman, Imperial General Staff, May 1940–Dec. 1941], 36, 241, 309(n34)
- disinformation. *See* deception
- Draeger, *Dr.* Hans (1896–) [G senior official, Interior Ministry], 108–109
- Duranty, Walter (1884–1957) [US foreign correspondent, *New York Times*], 75, 211, 323
- Eden, Anthony (1897–) [B Foreign Secretary, Dec. 1940–45], 3, 36, 58, 59, 62, 64, 114–116, 183, 212, 224, 229, 231–232, 308(n23), 323–324
- Eisenstein, Sergei (1898–1948) [R cinema director], 204
- espionage. *See* intelligence
- Etzdorf, *Dr.* Hasso von (1900–) [G anti-Nazi; Foreign Ministry Liaison Officer to OKW], 96, 146
- Fellgiebel, *Lt.-Gen.* Erich (1886–1944) [G anti-Nazi; Chief, WNV (Signals Communications), OKH, 1939–44], 150, 269
- Filippov, Ivan Filippovich (1912–) [R Chief, TASS Bureau in Berlin, and concurrently a senior NKGB officer, until interned on 22 June 1941], 84, 90, 160, 181, 325–326

- F.O.E.S. (Future Operations [Enemy] Section, B), 230–231, 361. *See also* A.P.E.S.
- Foote, Alexander (1905–1958) [R English wireless operator for Rado-Rössler GRU network in Switzerland; probably double-agent for British], 100–102, 327
- forecasting, 226–240
- FRITZ, 18, 362
- Gafencu, Grigore (1892–1957) [Rum Ambassador to USSR, Aug. 1940–22 June 1941], 145, 309(n50), 328
- Gavrilović, Dr. Milan [Y Minister to USSR], 52, 59
- Goebbels, Dr. (Paul) Joseph (1897–1945) [G Minister of Propaganda, 1933–44; suicide], 84, 86, 137, 173–174, 179, 264, 265, 316, 328, 340, 347, 349
- Goerdeler, Carl-Friedrich (1884–1944) [G anti-Nazi resistance leader; executed for treason], 51, 347
- Golikov, Lt.-Gen. Filipp Ivanovich (1900–) [R Chief, GRU, mid-July 1940–c. July 1941], 41, 66, 101, 126, 194–197, 198
- Gorelkin, N. V. [R Ambassador, Rome, 1940–June 1941], 126n, 214
- Göring, Reichsmarschall Hermann (1893–1946) [G Minister of Air, 1933–45; CinC Luftwaffe, 1935–45; suicide], 32, 41, 84–85, 117–118, 135, 140, 160, 176, 181, 225, 268
- Grew, Joseph C. (1880–1965) [US Ambassador to Japan, 1931–7 Dec. 1941], 205n, 286(n106)
- GRU (Soviet military intelligence), 36–37, 41, 66–68, 90, 99–102, 125–126, 194–196, 198. *See also* Akhmedov; Golikov; Rado; Rössler; Sorge; Trepper
- Halder, Lt.-Gen. (promoted Col.-Gen. 19 July 1940) Franz (1884–) [G anti-Nazi, Chief of Staff, OKH, 1938–42], 14, 31, 134, 139, 140, 144, 145, 237, 264, 267, 330
- Harnack, Dr. Arvid (1901–1942) [G Oberregierungsrat in Ministry of Economics; co-leader of anti-Nazi “Red Orchestra” Soviet network in Berlin to 1942; executed for treason], 98, 134n
- Harris, William R. (1941–) [US scholar specializing in intelligence and stratagem and the pioneer of counterdeception, Harvard University], 243n, 244n, 330
- Hassel, Ulrich von (1881–1944) [G anti-Nazi diplomat, politically retired since 1938; executed for treason], 279(n92), 294(n33), 330
- Helsingin Sanomat* (Finnish newspaper), 184
- Herald Tribune*. *See New York Herald Tribune*
- Herwarth von Bittenfeld, Hans von Heinrich “Johnny Herwarth” (1904–) [G career diplomat serving in the Army, 1939–45], 38–39, 150
- Hess, Rudolf (1894–) [G Deputy Führer (Reichsleiter), to May 1941], 18, 79–82, 338
- Hewel, Senior Counselor Walter (1904–1945) [G Head, Personal Staff, Reich Foreign Minister (Ribbentrop), 1938–45], 139n, 271, 294(n24)
- Heydrich, SS-Obergruppenführer Reinhard (1904–1942) [G Chief, RSHA, SS, 1939–42; assassinated], 34, 78, 137, 161, 162, 270, 357, 363
- Hicks, Wilson (1897–) [US Executive Editor, *Life*, 1937–50], 240
- Hilger, Gustav (1886–) [G Commercial Counselor, Embassy, Moscow, to June 1941], 17, 76–77, 149–150, 199, 331

- Himmler, *Reichsführer-SS* Heinrich (1900–1945) [G Chief, SS, 1929–45; suicide], 137, 270, 335
- Hitler, Adolf (1889–1945) [G Führer and Reich Chancellor, 1933–45, and (after 1934) Supreme Commander of the OKW; suicide], 2, 8–9, 12–20, 34, 54, 58–60, 79, 114, 120–121, 134–135, 141–147, 253, 267, 331
as deception planner, 172–176, 222, 242–243, 251–252, 262
see also intentions, Hitler's
- Hoffmann, Prof. Heinrich (1885–1957) [G photographer], 92
- Hoover, J. Edgar (1895–1972) [US Director, FBI, 1924–72], 39, 178
- Horikiri Zembei (1882–1946) [J Ambassador to Italy, Sept. 1940–43], 116
- Horthy, *Adm.* Nicholas von (Miklos Horthy de Nagybánya) (1868–1957) [H Regent, 1920–44], 144–145
- Hull, Cordell (1871–1955) [US Secretary of State, 1933–44], 3, 7, 8, 39–40, 44–45, 51, 58, 82, 97, 106–107, 114, 119, 121, 178, 205n, 209, 227–228, 332
- hypotheses concerning BARBAROSSA, 222–226. *See also* preventive war; SEA LION; ultimatum hypothesis
- I.G.S. (Imperial General Staff, B), 61, 230, 232. *See also* Dill
- intelligence, 1–9, 21, 24, 30–34, 151, 157–162, 166–167, 190–192. *See also* cryptology; photoreconnaissance; security
- intentions, Hitler's, 12–17, 242
- International News Service (INS), 275(n5)
- J.I.C. (Joint Intelligence Committee, B), 61–62, 230, 231, 232, 233, 241. *See also* Cavendish-Bentinck
- Jodl, *Lt.-Gen.* (appt. 19 July 1940) Alfred (1890–1946) [G Chief, Operations Staff (WFSt), OKW, 1939–45], 15, 17, 133n, 135, 136, 171, 261, 267, 364
- Kainz, Ludwig [G junior engineer in Organization Todt; GRU informant], 66–67, 125
- "Kalinov, Col. Cyrille D." (pseud., probably of Victor Alexandrov), 84–85, 225, 334
- Keitel, *Field-Marshal* (appt. 19 July 1940) Wilhelm (1882–1946) [G Chief, OKW, 1938–45; executed], 15, 135, 145, 180, 225, 226, 238, 251, 254, 265, 267, 335
- Kennan, George F. (1904–) [US First Secretary of Embassy, Berlin, Sept. 1939–11 Dec. 1941], 107, 228, 335
- Kesselring, *Field-Marshal* Albert (1885–1960) [G Commander, Air Fleet 2, 1940–43], 48, 135–136, 141, 270, 310(n55), 336
- Khrushchev's "secret speech" (24/25 Feb. 1956), 3, 69, 90, 119, 127, 190, 218, 282(n35), 336, 358
- Kirkpatrick, Ivone (1897–1964) [B career diplomat; member, F.O.E.S., until 3 Feb. 1941], 79, 158, 308(n26), 336
- Kirponos, *Col.-Gen.* M. P. (1892–1941) [R Commander of Kiev Military District, June 1940–July 1941], 128, 339
- Klausen, Max G. F. (1899–) [R German radio operator for Sorge's GRU network], 73
- Kleist, Dr. Peter (1904–) [G Soviet expert in Reich foreign office], 139, 287(n142), 336
- Kluge, *Field-Marshal* Günther von (1882–1944) [G CG, 4th Army, 1939–Dec. 1941; suicide], 173, 270

- Kobulov, Bogdan Zakharovich (- 1953) [R NKGB chief *Rezident* and Counselor of Embassy, Berlin, 1939-41; executed], 160
- Köstring, *Lt.-Gen.* (Cav.) Ernst (1876-) [G Military Attaché, Moscow, 1936-22 June 1941], 139, 252, 268, 352
- Kowalewski, *Col.* Jan (1892-) [P station chief in Lisbon, Military Intelligence, June 1940-44], 65n, 85-87, 338
- Kramer, *Lt.-Comdr.* Alvin D. "The Shadow" (c. 1903-1972) [US head, Translation Unit (Op.-20-GZ), Communications Security Section, Office of Naval Communications, Office of Naval Operations, June 1940-43], 116
- Kudryavtsev, Sergei Mikhailovich (1915-) [R TASS correspondent and GRU agent in Berlin, c. 1939-22 June 1941], 90
- Kuznetsov, *Admiral* Nikolai Gerasimovich (1902-) [R People's Commissar of the Navy and CinC of the Navy, 1939-45], 3, 96-97, 195, 197, 198, 203, 214, 218, 337
- Labonne, Erik (1888-) [VF Ambassador to USSR, to May 1941], 97
- Lanza, Michele [I First Secretary of Embassy, Berlin, 1939-43], 96, 237, 350
- Lazar, Hans [G Press Attaché, Madrid], 85-86, 176
- Leahy, *Fleet Admiral* William D. (1875-1959) [US Ambassador to Vichy, 1940-42], 97, 151, 338
- Lee, *General* Raymond E. (1886-1958) [US Military Attaché in London, 1940-41], 242
- Leeb, *Field-Marshal* Wilhelm Ritter von (1876-1956) [G CG, Army Group C (later North), July 1940-42], 37, 50n, 117, 258, 268
- Leeper, Reginald "Rex" (1888-1968) [B Director-General, Political Intelligence Dept., Foreign Office, c. 1940-43; also Director, S.O.I, July 1940-42], 233
- Leonhard, Wolfgang (1922-) [G Communist student in Moscow], 127, 187, 202, 338
- Life*, 204, 240. *See also* Bourke-White; Caldwell
- List, *Field-Marshal* Wilhelm (1880-) [G CG, 12th Army, 1939-Oct. 1941], 50, 62
- Litvinov, Maksim (Maxim) Maksimovich (1876-1951) [R Chief, Foreign Affairs Information Section, Secretariat, CC, CPSU, 1939-Feb. 1941], 204-205, 211-212, 346
- Lochner, Louis P. (1887-) [US Chief, Associated Press Bureau, Berlin, 1928-Dec. 1941], 87-90, 107n, 339
- London News Chronicle*, 182
- Long, Breckinridge (1881-1958) [US Assistant Secretary of State, 1940-44], 39, 178, 339
- "Lonsdale, Gordon." *See* Molody
- Luftwaffe. *See* OKL
- Maass, Hermann (1897-1944) [G anti-Nazi SPD leader; executed as traitor], 88-89, 107n
- Maisky, Ivan Mikhailovich (1884-) [R Ambassador to Britain, 1932-43], 3, 58, 64, 107-108, 114-116, 119, 124-125, 218, 340
- Malenkov, Georgi Maksimilianovich (1902-) [R Secretary, Secretariat, Central Committee, CPSU, 1939-53], 202
- Manchester Guardian*, 182
- Manstein, *Gen. of Infantry* Erich von (1887-) [G CG, 56 Panzer Corps,

- Feb.-Sept. 1941], 52, 140, 310(n55), 341
- Marcks, *Maj.-Gen.* Erich (-1944) [G CofS, 18th Army (brevited to OKH), 1939-40; KIA], 50n, 267
- Marcks's Plan, 50n
- Matsuoka Yōsuke (1880-1946) [J Foreign Minister, July 1940-July 1941], 43-44, 113, 114, 237-238, 241
- Mel'nyk, Andrew (Andrei) (-1964) [head of Ukrainian émigré nationalist OUN group in Germany], 58, 112, 183
- Merkulov, Vsevolod Nikolayevich (-1953) [R Commissar, NKGB, 3 Feb. 1941-20 July 1941], 193
- M.E.W. (Ministry of Economic Warfare, B), 232
- mobilization
- Finnish, 120
- German, 25-29, 120
- Russian, 27, 217
- Molody, Konon Trofimovich (*nom d'espion*: "Gordon Lonsdale") (1924?-1970) [R KGB agent], 29, 69, 164, 339
- Molotov, Vyacheslav Mikhailovich (1890-) [R People's Commissar, Foreign Commissariat, 1939-49], 16, 25, 33, 60, 110, 197-198, 200, 206, 207, 210, 213, 218, 242, 301(n32), 351
- Moravec, *Col.* František (c. 1895-1966) [C Director of Military Intelligence, 1933-48], 54-55
- Morris, Leland B. (c. 1886-1950) [US Counselor of Embassy and Chargé d'Affaires in Berlin to Dec. 1941], 107n
- Müller, *Dr.* Josef (1898-) [G anti-Nazi; Munich lawyer; Abwehr collaborator], 77-78, 150, 236
- Mussolini, Benito (1883-1945) [I Premier, 1922-43; dictator, 1925-43], 146-147, 236
- Napoleonic invasion of Russia (1812), 18-19, 30, 96
- Narkomindel (Foreign Commissariat, R), 197-198. *See also* Dekanozov; Litvinov; Molotov; Vyshinsky; Zhdanov
- negotiations. *See* deception, false negotiations; tacit bargaining
- Neue Zürcher Zeitung*, 123, 182, 239
- Newman, Joseph [US correspondent, *New York Herald Tribune*, Tokyo, 1941], 74-76, 343
- newspapers. *See under names of papers*
- New York Herald Tribune*, 74-75, 182, 239. *See also* Newman
- New York Times*, 74, 75, 121-124, 239, 240, 242, 320. *See also* Baldwin; Brock; Duranty; Sulzberger
- Nicholson, Leslie Arthur (pseud. John Whitwell) (1902-1971) [B senior officer, S.I.S., 1929 through WWII], 3, 49, 357
- Niedermayer, *Col. Dr.* Oskar Ritter von (1885-) [G geopolitician and Soviet expert, War Ministry], 72
- "Nikolayev, Georgi." *See* Akhmedov
- NKGB (Commissariat of State Security, R), 34, 60, 98, 109-110, 117, 192-193, 198, 351, 362. *See also* Filippov; Kobulov; Merkulov; NKVD; TASS
- NKVD (Commissariat of Internal Affairs, R), 66, 128, 192-194, 362. *See also* Beriia; NKGB
- noise. *See* signals/noise
- OKH (Army High Command, G), 230, 363. *See also* Brauchitsch; Halder; Paulus
- OKL (Luftwaffe High Command, G), 315, 363. *See also* Göring; Rowehl
- OKM (Navy High Command, G), 314, 363. *See also* Raeder
- OKW (High Command of the Wehr-

- macht, G), 329, 363. *See also* Jodl; Keitel; Warlimont
- Onodera Makoto, *Maj. Gen.* [J Military Attaché, Stockholm, 1937?-42], 112, 167
- Oshima Hiroshi, *Col.* (later *Gen.*) (1885-) [J Ambassador to Germany, Feb. 1941-45], 7, 43-44, 45, 112-114, 116, 154, 162, 237-238
- Oster, *Col.* Hans (1888-1945) [G anti-Nazi; CofS, Abwehr, OKW, 1938-43; executed for treason], 51, 77-78, 136, 149-150, 162, 167, 268
- Ott, *Maj.-Gen.* Eugen (1890-) [G Ambassador to Japan, 1938-42], 64, 71, 72
- OTTO-PROGRAMME, 16, 363
- Oumansky, Constantine. *See* Uman-sky
- Overseas News Agency (ONA), 281(n20)
- Ozaki Hotsumi (1901-1944) [I journalist; principal agent of Sorge's GRU network in Tokyo; executed], 73, 112
- Papen, Franz von (1879-1969) [G Ambassador to Turkey, 1939-44], 80, 122, 139, 159, 344
- Paul, *Prince* (1893-) [Y Regent, 1934-Mar. 1941], 58-60
- Paulus, *Lt.-Gen* Friedrich (1890-1957) [G Chief, OQu I (Operations), OKH, 3 Sept. 1940-42], 15, 135, 143n, 268, 273(n4), 329
- Pavlov, V. N. [R Chief, German Section, Foreign Commissariat], 76, 77
- photoreconnaissance, 30-33. *See also* Rowehl
- Pickenbrock, *Col.* Hans [G anti-Nazi; Chief, Section I (intelligence), Abwehr, OKW, 1935-44], 150, 268
- Pius XII (1876-1958) [V Pope, Roman Catholic Church, 1939-58], 7, 77-78, 227, 236
- planning, German. *See* BARBAROSSA
- Ponschab, August (1896-) [G Consul, Harbin], 156, 206
- Poskrebyshv, Aleksandr Nikolaievich (1891-†) [R head, Stalin's personal secretariat], 198, 225
- preconceptions, 14-15
- preventive war, 174, 223, 225-226, 238
- provocation, 32, 118, 127, 194, 195, 197, 203, 207-208, 213, 215-217
- Quo Tai-chi (1888-1952) [Ch Ambassador to Britain, 1935-Apr. 1941], 82-83
- Rado[lfi], Alexander [R GRU illegal *Resident* agent in Switzerland, 1940-43], 3, 85, 99-102, 149, 164, 167, 194
- Raeder, *Grand-Admiral* Erich (1876-1960) [G CinC, OKM, 1935-43], 136-137, 145, 252, 262, 270, 328, 346
- "Red Orchestra" (Rote Kapelle). *See* Harnack; Schulze-Boysen; Trepper
- Reinecke, *Lt.-Gen.* Hermann "Little Keitel" (1888-) [G Chief, AWA (General Armed Forces Dept.), OKW, 1938-45], 30, 270
- Respondik, Erwin [G anti-Nazi official], 38, 93, 150
- Reuters, 122, 239
- Ribbentrop, Joachim von (1893-1946) [G Foreign Minister, 1938-45], 16-17, 20, 25, 71, 86, 91, 114, 126, 138-139, 144, 145, 153-154, 162, 180, 199, 266, 271, 347
- Richert, Arvid G. (1887-) [S Minister to Germany, 1937-45], 50, 224, 241
- Rivet, *Col.* Louis [VF Chief, Fifth Bureau ("Special Services"), Army of the Armistice, 1940-42], 104-105, 235

- Ronin, *Lt.-Col.* [VF Chief, Air Intelligence, 1940–42], 105, 235
- Roosevelt, Franklin D. (1882–1945) [US President, 1933–45], 7, 24, 44, 178, 227, 228, 347
- Rosenberg, *Reichsleiter* Alfred (1893–1946) [G Chief, APA (Foreign Policy Office), Nazi party, 1930–41; executed for “war crimes”], 16, 57, 80, 137–138, 271
- Rössler, Rudolf (*nom d’espion*: “Lucy”) (1897–1958) [Sw Bavarian agent in Rado’s GRU network in Switzerland, c. 1938–44], 28, 99–103, 149, 157, 194
- Rosso, Augusto (1885–1964) [I Ambassador to USSR, 1936–June 1941], 110, 237
- Rote Kapelle. *See* “Red Orchestra”
- Rowehl, *Lt.-Col.* Theodor [G Commander, Reconnaissance Group (“Rowehl Squadron”), Luftwaffe], 31, 268, 324
- rumors, 65, 91n, 97, 177–187, 196, 207, 210, 213
- Rundstedt, *Field-Marshal* (appt. 19 July 1940) Gerd von (1875–1953) [G CG, Army Group A (later South), 1939–Dec. 1941], 37, 50, 134, 267
- Sakamoto Ryuki [also Tamao] (1897–) [J Director, European Dept., Foreign Ministry, from Sept. 1940], 113
- Saracoglu, Shukru (1887–1953) [T Foreign Minister, 1938–42], 242
- Schacht, Hjalmar Horace Greeley (1877–1970) [G Minister without Portfolio, 1939–44], 81, 83, 140–141, 348
- Scheidt, *Lt. Dr.* Wilhelm [G War Diarist of Wfst, OKW, 1941–45], 103, 268
- Schellenberg, *Brigadeführer-SS* Walter (1910–1952) [G Leader, Amt IVE (counterespionage), SD, SS, 1939–22 June 1941], 80, 137, 162, 270, 348
- Schmidt, *Dr.* Paul Karl (pen name: “Paul Carell”) (1911–) [G Acting Director, News Service and Press Dept., Reich foreign office, 1940–45], 3, 83, 318–319
- Schmidt, *Dr.* Paul Otto (1899–1970) [G Chief Interpreter, 1923–45, and head, Secretariat, Reich foreign office, 1935–45], 17, 41, 349
- Schmundt, *Col.* Rudolf (1898–1944) [G Chief Military Adjutant to the Führer, 1938–44], 134, 267
- Schulenburg, *Count* Friedrich von der (1875–1944) [G Ambassador to USSR, 1934–22 June 1941; executed for treason], 25, 76, 110, 139, 149–150, 199, 207, 210, 258
- Schulze-Boysen, *Lt.* Harro (1909–1942) [G junior officer, Research Office, Air Ministry; co-leader of anti-Nazi “Red Orchestra,” Soviet network in Berlin, to 1942; executed for treason], 98, 134n
- Scott, John (1912–) [US free-lance journalist, Moscow, until June 1941], 182, 212–213, 349
- SD (Sicherheitsdienst, G), 175, 362. *See also* Heydrich; Schellenberg
- SEA LION (including ALBION, HAI-FISCH [“shark”], HARPOON), 15, 33, 39, 136, 140, 172–174, 223, 225, 248–266, 312
- security, 132–133, 141–142, 171
- Sevin, X. de [VF Air Attaché in Rumania, 1938–July 1941], 105, 121, 350
- Signal* (German magazine), 122
- signals/noise, 26, 201. *See also* Wohlstetter theory of surprise
- Sikorski, *General* Władysław (1881–1943) [P CinC, Polish Army, 1939–43; Prime Minister-in-Exile, Mar. 1941–43], 48, 119, 236

- Simoni, Leonardo (pseud.). *See* Lanza
- S.I.S. (Secret Intelligence Service, B), 64–65, 115n, 156, 364. *See also* Nicholson
- Škvor, Mr. [C vice-president Škoda Works; agent of the GRU], 50, 67
- Sodenstern, Lt.-Gen. Georg von (1889–) [G CofS, Army Group A (later South), Feb. 1940–42], 134, 267
- S.O.E. (Special Operations Executive, B), 64–65, 320, 326, 352
- Sorge, Dr. Richard (1895–1944) [R GRU illegal *Rezident* agent in Tokyo with cover as correspondent of the *Frankfurter Zeitung*, Sept. 1933–Oct. 1941, when arrested], 3, 64, 71–74, 81, 111, 164, 194, 195, 213, 321, 336–337, 342, 357
- Stalin, Iosif (Joseph) Vissarionovich (1879–1953) [R Chairman, Politburo, CPSU; Secretary General, Secretariat, Central Committee, CPSU; Chairman (“Premier”), Council of People’s Commissars, 6 May–30 June 1941], 12–14, 82, 181, 351
- obtains warnings, 2, 24, 60, 62–63, 96, 98, 101, 108, 109–110, 127, 128, 193–197, 351
- reactions to warnings, 3, 8–9, 24–25, 52, 81, 126–127, 128–129, 198–201, 204, 206–212, 223, 242–243
- Steinhardt, Laurence A. (1892–1950) [US Ambassador to USSR, 1939–41], 40, 51, 107, 110, 113, 206, 209, 223, 241, 279(n95), 309(n50), 358
- Stimson, Henry L. (1867–1950) [US Secretary of War, 1940–45], 228, 242
- Sulzberger, Cyrus L. (1912–) [US Southwest Europe Bureau Chief, *New York Times*, Ankara, 1941], 122–124, 240, 352
- surprise, 1–9, 209–218, 241–245, 311.
- See also* Wohlstetter theory of surprise
- Susloparov, Maj.-Gen. I. A. [R Military Attaché, Vichy, Feb.–June 1941], 67, 105, 125
- tacit bargaining, 184, 205
- TASS (Russian newswire service), 36, 68, 90, 116, 184, 207–208. *See also* Akhmedov; Filippov
- Tatekawa Yoshitsugu, Lt.-Gen. (1880–1945) [J Ambassador to USSR, Oct. 1940–42], 45, 205, 206, 213, 237
- Thayer, Charles W. (1910–1969) [US Third Secretary of Embassy, Moscow, 1940–42], 38, 64, 352–353
- Thomas, Gen. of Infantry Georg (1890–1946) [G anti-Nazi; Chief, Wi Rü Amt, OKW, 1939–42], 84, 150, 176, 268
- Thummel, Paul (–1945) [G Abwehr station chief for Southeast Europe, stationed in Prague and Agent A-54 (“Frantas”) of Czechoslovak Military Intelligence, 1936–Mar. 1942; executed], 56–57, 149, 150, 357
- Time* magazine, 240
- Times* (London), 239. *See also* Dawson
- Timoshenko, Semen Konstantinovich (1895–1970) [R People’s Commissar of Defense, July 1940–July 1941], 126–127, 198, 202, 214
- Timpul* (Rumanian newspaper), 121
- Tito (nom de guerre of Josip Broz) (1892–) [Y head, Communist party underground], 91, 215
- Todt, Maj.-Gen. Dr. Fritz (1891–1942) [G Minister for Armament and Munitions, 1940–42], 29, 256
- Todt Organization, 29, 67. *See also* Todt, Fritz
- Tōjō Hideki, Gen. (1884–1948) [J

- Minister of War, July 1940–Oct. 1941; executed for “war crimes”], 237
- treason, 65, 76, 147–150
- Trepper, Leopold (1904–) [R chief illegal *Rezident* agent for France and the Low Countries, as “le Grand Chef” of the “Red Orchestra,” Mar. 1939–Nov. 1942], 57, 66–67, 125–126, 194
- Tupikov, *Maj. Gen.* V. I. [R Military Attaché in Berlin from at least as early as Feb. 1941], 41–42, 256
- Ukrainian nationalists, 34, 57–58, 112, 182–183, 363. *See also* Bandera; Mel’nyk
- Ulbricht, Walter (1893–) [R German Communist party leader], 127, 202, 215
- ultimatum hypothesis, 50, 117–118, 175, 223–224, 234, 237, 240, 241–243, 352
- Umansky, Konstantin Aleksandrovich (Constantine Oumansky) (1902–1945) [R Ambassador to U.S.A., 1939–Nov. 1941], 40, 44–46, 70, 211, 228
- United Press (UP) (U.S. newswire service), 74, 239
- Vatican, the, 78. *See also* Müller, Pius XII
- Vatutin, *Lt.-Gen.* Nikolai Fyodorovich (1901–1944) [R First Deputy of General Staff, Red Army, to July 1941], 126–127
- Vorontsov, *Rear-Adm.* M. A. [R Naval Attaché, Berlin, to June 1941], 69, 96–97
- Voukelitch, Branko “Vukie” de (1904–1945) [correspondent of Havas news agency and member of Sorge’s espionage network, 1933–Oct. 1941, when arrested], 73–76, 344
- Vyshinsky, Andrei Yanuarievich (1883–1954) [R First Deputy Commissar, Foreign Commissariat, 1940–46], 40, 62
- Wagner, *Maj.-Gen.* Eduard (1891–1944) [G anti-Nazi; Chief, Supply and Administrative Division, OKH, Oct. 1940–44], 150, 268
- Warlimont, *Maj.-Gen.* (appt. 1 Aug. 1940) Walter (1895–) [G Chief, L, WFSt, OKW, 1939–Dec. 1941], 15, 17, 171, 259, 267, 310(n55), 355, 364
- warnings, 24–129
- Washington Post*, 239
- Weizsäcker, *Freiherr* Ernst von (1882–1951) [G State Secretary, Foreign Ministry, 1939–43], 17, 20, 113, 139, 154, 156, 206, 262, 271, 355
- Welles, Sumner (1892–1961) [US Undersecretary of State, 1937–43], 3, 7, 39, 40, 44–46, 58, 118, 178, 227–228, 242, 356
- “Werther” [Rössler’s anonymous OKW source], 100, 103
- Whitaker, John T. (1906–) [US foreign correspondent, *Chicago Daily News*, Rome and, later, Lisbon], 107n, 182, 356
- “Whitwell, John.” *See* Nicholson
- Wiitanen, *Maj.* Arvo (1902–1961) [F Military Attaché, Ankara], 123, 292(n110)
- Wohlstetter, Roberta. *See* Wohlstetter theory of surprise
- Wohlstetter theory of surprise, 5–6, 242, 243–244, 311, 358
- Woods, Sam E. (1892–1953) [US Commercial Attaché, Berlin, 1939–Dec. 1941, when interned], 37–39, 42, 44, 65, 92, 149, 178, 228

Zaitsev, Viktor Sergevich [R Second Secretary of Embassy and GRU legal *Rezident* agent, Tokyo, c. 1940–42], 71, 213

Zhdanov, Andrei Aleksandrovich (1896–1948) [R Member, Politburo, CPSU, 1939–48; Secretary (for for-

eign affairs), Secretariat, Central Committee, CPSU], 197–198, 214
Zhukov, *Marshal* Georgi Konstantinovich (1896–) [R Chief of General Staff, Jan.–July 1941], 63, 126–127, 128, 196, 198, 214, 311–312, 359